

Audit Reviews (Position As At End of Quarter 4 2013/14)			Appendix 2	
Audit Review Title	Status	Assurance Level	Recommendations	
			Made	Agreed
Bath Transport Package	Finalised	4	4	4
Design & Structures	Finalised	1	14	14
Revenues Estate Management	Finalised	4	5	5
Safeguarding Adults	Finalised	5	3	3
School Theme - Personnel & Payroll	Finalised	3	6	6
Planning Enforcement	Finalised	3	8	8
Waste Collections Final Report	Finalised	4	7	7
Budgeting - Control of Virements	Finalised	4	3	3
2012/13 Carry Forward - Heritage Review	Finalised	5	1	1
2012/13 Carry Forward - Parking Review		Carry Forward to 2014/15 Plan		
2012/13 Carry Forward - Pensions Investments	Finalised	5	3	3
2012/13 Carry Forward - Public Transport	Finalised	4	4	4
2012/13 Carry Forward - SIMS Application	Finalised	4	3	3
Anti Fraud & Corruption - Bribery & Corruption	Draft			
Anti Fraud & Corruption - Expenditure	Finalised	4	2	2
Anti Fraud & Corruption - Income	Finalised	2	4	4
Parking IT System (Contract Management)	Finalised	2	11	11
Parking Permits	Draft			
Personalised Budgets		Carry Forward to 2014/15 Plan		
Accounts Payable	Draft			
Development Control	Finalised	4	6	6
Cash Receipting System / Civica Icon Application	Finalised	4	2	2
Northgate Revenues & Benefits Application		Covered scope in 2012/13 audit		
I.T. Business Continuity and Disaster Recovery	Draft			
Park & Ride	Finalised	4	2	2
Public Health & Clinical Commissioning Group - Statutory Responsibilities and Financial Governance	Draft			
Pensions Payroll	Finalised	4	3	3
Accounts Receivable	Draft			
Themed School Review - Risk Management	Finalised	4	2	2
Capital Expenditure	Draft			
Welfare Support	Draft			
Themed School Review - Procurement	Draft			
Sirona Contract Management		Carry Forward to 2014/15 Plan		
Electronic Commerce Replaced by 'Anti-Fraud Refunds'	WIP			
PAYE & NICS	Draft			
Early Years Entitlement	Finalised	4	2	2
Fostering & Adoption		Carry Forward to 2014/15 Plan		
Housing Improvement/Adaptions	Finalised	4	6	6
IT (Software Licensing)	WIP			
IT System Management and Control		Carry Forward to 2014/15 Plan		
Cash Collection & Banking	Finalised	5	1	1
Themed School Review - Unofficial Funds	WIP			
Parking Income Collection	Draft			
Insurance	Finalised	4	1	1

Passenger Support		Covered scope in 2012/13 audit		
Licensing	Finalised	4	3	3
Council Tax - Collections, Recovery, Enforcements & Write-Offs	Finalised			
IT Physical & Environmental Controls	WIP			
Energy Management	Finalised	4	7	7
Residential & Nursing Care Payments	Finalised	4	5	5
Highway Electrical - Street Lighting	Draft			
Connecting Families	Finalised	4	9	9
Payroll - Pensions Auto Enrolment	Finalised	4	5	5
Purchasing Card System		Carry Forward to 2014/15 Plan		
Flood Alleviation		Carry Forward to 2014/15 Plan		
Heritage - Collections	Finalised	4	5	5
Legal Services	Finalised	3	8	8
Building Control	Finalised	4	9	9
Safer Recruitment		Carry Forward to 2014/15 Plan		
Fleet Management	Finalised	4	1	1
Parks & Green Spaces	Draft			
VAT	Finalised	4	6	6
Leased Assets		Covered in Property & Fleet Management audits		
Community Rights (Statutory Obligations )	Draft			
Information Governance - Data Protection	WIP			
IT Procurement & Disposals (Hardware / Software)	WIP			
Payroll - Processing, Payruns & Reconciliations	Draft			
Electoral Services Bank Accounts	Draft			