

Core Indicator	Anti Fraud Indicator	Directorate	Service	Team	Audit Activity	Risk Rating
		Resources	Corporate	Corporate	1. Audit Review 'Follow-Ups'	High
	AF	Corporate	Fraud	Corporate	2012/13 Carry Forward - Anti Fraud & Corruption - Expenditure	High
	AF	Corporate	Fraud	Corporate	2012/13 Carry Forward - Anti Fraud & Corruption - Income	High
		Place	Tourism, Leisure & Culture	Heritage	2012/13 Carry Forward - Heritage Income	High
		Place	Environmental Services	Car Parking	2012/13 Carry Forward - Parking	High
		Resources	PENSIONS	PENSIONS	2012/13 Carry Forward - Pensions Investments	High
		Place	Planning & Transportation	Transportation	2012/13 Carry Forward - Public Transport	High
		People & Communities	Health Commissioning & Strategic Planning		2012/13 Carry Forward - SIMS Application	High
Core		Resources	Corporate	Governance	AGS Review 2012/13	High
Core		Resources	Corporate	Governance	AGS Review 2013/14	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - Bribery & Corruption	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - Expenditure	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - Income	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - NFI - 2012/13 - Data Set Co-ordination / Investigation	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - NFI - 2013/14 - Data Submission Co-ordination	High
	AF	Resources	Corporate	Fraud	Anti Fraud & Corruption - NFI - 2013/14 Data Set Co-ordination / Investigation	High
		Resources	Corporate	Grant Certification	Grant Certification (Bus Services Operators, Local Transport Capital, RIF - Growing Places Fund)	High
		Place	Environmental Services	Parking Services	Parking IT System (Contract Management)	High
	AF	Place	Environmental Services	Parking Services	Parking Permits	High
	AF	People & Communities	Adults	Adults	Personalised Budgets (incl monitoring of expenditure & co-ordination between Finance Team / Non Acute & Social Care)	High
Core	AF	Resources	Finance	Business, Finance & Pensions	Accounts Payable	High
		Place	Planning & Transport	Planning	Development Control	High
Core	AF	Resources	Revenues & Benefits	Revenues & Benefits	Cash Receipting System / Civica Icon Application (incl e-pay)	High
		Resources	Revenues & Benefits	Revenues & Benefits	Northgate Revenues & Benefits Application	High
		Resources	Transformation	Transformation	I.T. Business Continuity and Disaster Recovery	High
		Place	Planning & Transportation	Transport & Development	Park & Ride	High
		Resources	Public Health	Public Health	Public Health & Clinical Commissioning Group - Statutory Responsibilities and Financial Governance	High
Core	AF	Resources	PENSIONS	PENSIONS	Pensions Payroll	High
Core	AF	Resources	Finance	Business, Finance & Pensions	Accounts Receivable	High
		People & Communities	Learning & Inclusion	School Theme	Themed School Review - Risk Management	High
		Resources	Finance / Project Management	Corporate Finance - Capital	Capital Expenditure	High
	AF	Resources	Revenues & Benefits	Revenues & Benefits	Community Care Grants / Crisis Loans	High
	AF	People & Communities	Learning & Inclusion	School Theme	Themed School Review - Procurement	High
		People & Communities	People	People	Sirona Contract Management - Community Care Budget Management, Client Assessment & Contributions, Statutory responsibilities (monitoring & delegation)	High
		Resources	Finance	Business, Finance & Pensions	Electronic Commerce (including PCIDSS)	High
Core		Resources	Improvement & Performance	Payroll	PAYE & NICS (Incl new HMRC Monthly Info provision & ResourceLink Application)	High
		People & Communities	Health Commissioning & Strategic Planning	Health Commissioning & Strategic Planning	Early Years Strategic Planning - Grants	High

		People & Communities	Safeguarding Social Care & Family Service	Family Placement	Fostering & Adoption	High
	AF	People & Communities	Adults	Adults	Housing Improvement/Adaptions	High
		Resources	Transformation	Transformation	IT (Software Licensing)	High
		Resources	Transformation	Transformation	IT System Management and Control	High
	AF	Resources	Finance	Finance	Cash Collection & Banking (scope to include a general review of Council Cash Collection - Bristol CC)	High
	AF	People & Communities	Learning & Inclusion	School Theme	Themed School Review - Unofficial Funds	High
C-Cash collection	AF	Place	Environmental Services	Parking Services	Parking Income Collection	High
		Resources	Finance	Business, Finance & Pensions	Insurance	High
		Place	Environmental Services	Transport & Fleet Management	Passenger Support (incl Home to School Transport, Dial a Ride & Grants)	Medium
	AF	Place	Environmental Services	Public Protection	Licensing	Medium
Core -C Tax	AF	Resources	Revenues & Benefits	Revenues & Benefits	Collections, recovery, enforcements & write-offs (incl Bristow & Sutor portal)	Medium
		Resources	Transformation	Transformation	IT Physical & Environmental Controls	Medium
		Resources	Property Services	Property Services	Energy Management (requires input from Sustainability Team)	Medium
		People & Communities	Adults	Adults	Residential & Nursing Care Payments	Medium
		Place	Environmental Services	Highways	Highway Electrical & Intelligent Transport Systems, UTMC - Traffic Signal System, Mayrise Buchanan Traffic Accident Street Lighting Software	Medium
		People & Communities	Adults	Adults	Connecting Families	Medium
	AF	Resources	Improvement & Performance	Payroll	Payroll - Additions & Deductions (Focus on Pensions Auto Enrolment)	Medium
		Resources	Finance	Business, Finance & Pensions	Purchasing Card System	Medium
		Resources	Regeneration & Development	Economic Enterprise & Business Development	Flood Alleviation	Medium
		Place	Tourism, Leisure & Culture	Heritage	Heritage - Exhibits, Stocks & Stores	Medium
		Resources	Council Solicitor	Legal	Legal Services	Medium
		Place	Planning & Transport	Building Control	Building Control	Medium
		Resources	Improvement & Performance	Payroll	Safer Recruitment	Medium
		Place	Environmental Services	Transport & Fleet Management	Fleet Management - Vehicle Management & Maintenance (including Vehicle Procurement & leasing, MOT Trading Activity)	Medium
		Place	Environmental Services	Neighbourhoods Services	Parks & Green Spaces	Medium
		Resources	Finance	Corporate Finance Team	VAT	Medium
		Resources	Finance	Corporate Finance Team	Leased Assets	Medium
		Resources	Policy & Partnerships	Policy & Partnerships	Community Rights (Statutory Obligations)	Medium
		Resources	Risk & Assurance	Information Governance	Info Gov - Transparency (access to information and management of FOI / EIR / DP Requests)	Medium
		Resources	Transformation	Transformation	IT Procurement & Disposals (Hardware / Software)	Medium
Core		Resources	Improvement & Performance	Payroll	Payroll - Processing, Payruns & Reconciliations	Medium