

Audit Reviews (Position As At End of Q4 2014/15)				
Audit Review Title	Status	Assurance Level	Recommendations	
			Made	Agreed
Anti Fraud & Corruption - Bribery & Corruption	Final	3	3	3
Parking Permits	Final	3	13	12
Accounts Payable	Final	3	3	3
I.T. Business Continuity and Disaster Recovery	Final	2	10	10
Public Health & Clinical Commissioning Group - Statutory Responsibilities and Financial Governance	Final	4	5	5
Accounts Receivable - Write Offs	Final	4	1	1
Capital Expenditure	Draft			
Welfare Support	Final	3	9	9
Themed School Review - Procurement	Final	4	4	4
Electronic Commerce (including PCIDSS) Replaced by 'Anti-Fraud Refunds'	Final	3	5	5
PAYE & NICS (Incl new HMRC Monthly Info provision & ResourceLink Application)	Final	3	5	5
IT (Software Licensing)	Final	2	9	9
Themed School Review - Unofficial Funds	Final	4	5	5
Parking Income Collection	Final	3	5	5
IT Physical & Environmental Controls	Final	3	25	23
Parks & Green Spaces	Final	2	13	13
Highway Electrical & Intelligent Transport Systems, UIMC - Traffic Signal System, Mayrise Buchanan Traffic Accident Street Lighting Software	Final	3	11	11
Community Rights (Statutory Obligations)	Final	4	9	9
Info Gov - Data Protection	Final	3	7	7
IT Procurement & Disposals (Hardware / Software)	Final	3	8	7
Safer Recruitment	Final	2	6	6
Payroll - Processing, Payruns & Reconciliations	Final	3	17	11
Contract Management - Registered Residential Care	Final	4	7	7
Fostering Allowances	Final	5	1	1
Safeguarding (Children)	WIP			
Virtual School Governance Arrangements	Final	3	6	6
Art Development	Final	5	1	1
Festivals (As above)	Final	N/A	N/A	N/a
New Homes Bonus	Final	4	3	3
Homelessness & Temp Accom	Final	4	7	7
Tourism Company - Contract Management (Bath Tourism Plus)	Draft			
Waste Enforcement	WIP			
Car Parking Governance	Final	4	3	3
Spring Water Monitoring (Avon Act / Shale Gas Extraction)	Final	4	7	6
Pensions - Iconnect system	Final	4	1	1
Scan Coin Payment Kiosks	Final	4	4	4
Council Tax -Liability, Billing & Refunds (Inc. Northgate Revs & Bens Application)	Final	3	16	16
Cash - Library Services	WIP			
Property Officer Decision Making	Final	3	10	10
Catering	WIP			
Children Missing Education	Final	4	1	1
Commissioning - Safeguarding (School Theme Review - Disclosure Barring Service)	Draft			
Adults - Single Panel Process	Final	3	3	3
Capital & Schools Organisation - Childrens Services Property Estate	WIP			
City Deal	WIP			
Spring Water Supply	Final	4	1	1
Leisure Provision (Aquaterra)	WIP			
Highway Maintenance & Improvement Works – Drainage Systems and Verge, Hedge and Bank Cyclical Maintenance	Final	4	6	6
Food Safety & Health Promotion	Final	3	10	10
Taxis & Private Hire Vehicles	Final	4	2	2
Adult Care - Debt Management	WIP			
Finance Monitoring / Risk management	Final	5	1	1
IT Provision - Firewalls	WIP			
IT Provision - Malware Protection	WIP			
NNDR - Database & Valuation	Draft			
Incident Management	Final	3	16	16
Property - Compliance	WIP			
Out of County Placements		2015/16 Plan		
Joint Safeguarding - Effectiveness of joint case reviews		See Safeguarding Children		
Better Care Fund	WIP			

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The Hub - Governance Arrangements	Final	5	0	0
Theme Schools Review - Energy Management	Final	4	5	5
Economic Enterprise & Business Development		2015/16 Plan		
Retail Shops (Incl. Warehouse)	Draft			
Waste Operations	Final	5	1	1
Land Dainage (LLFA - Flood & Drainage Programme Management)	WIP			
Traffic & Safety (Traffic Signals & Intelligent Transport Systems)	WIP			
Adult Finance - Deputyship	Postponed			
Introduction of new HR & Payroll System	WIP	System	Development Work	
Payroll - Mileage & Business Expenses	Final	4	4	4
School Pensions / Payroll Responsibilities		2015/16 Plan		
Council Tax - Database & Valuation	Final	See C.Tax liability billing		
Project Delivery		2015/16 Plan		
Extended Services	Cancelled			
Theme Schools Review - Information Security	WIP			
LEP		2015/16 Plan		
World Heritage	Final	4	4	4
Roman Baths & Assembly Rooms (Incl. Income, Premises & Contracts)	Final	4	1	1
Tenancy Fraud		2015/16 Plan		
Design & Projects	WIP			
Personal Budgets	Final	3	3	3
Purchase Cards		2015/16 Plan		
Council Website	c/f 2015/16			
IT - Procurement & Disposal	WIP			
Pro-Contract		2015/16 Plan		
Welfare Reform (Universal Credit)		2015/16 Plan - HB Work		
Sustainability (Energy@Home)	Draft			
Anti-Fraud & Corruption - Purchasing Card	WIP			
Anti-Fraud & Corruption - Expenditure (HB Manual Adjustments)	WIP			
Anti-Fraud & Corruption - Income (Cash Floats)	Draft			