

Audit Reviews (Position As At End of Q2 2014/15)				
Audit Review Title	Status	Assurance Level	Recommendations	
			Made	Agreed
Anti-Fraud & Corruption - Bribery & Corruption	Draft			
Parking Permits	Final	3	13	12
Accounts Payable	Final	3	3	3
I.T. Business Continuity and Disaster Recovery	Draft			
Public Health & Clinical Commissioning Group - Statutory Responsibilities and Financial Governance	Final	4	5	5
Accounts Receivable - Write Offs	Final	4	1	1
Capital Expenditure	Draft			
Welfare Support	Final	3	9	9
Themed School Review - Procurement	Final	4	4	4
Anti-Fraud Income (Refunds)	Draft			
PAYE & NICS (Incl new HMRC Monthly Info provision & ResourceLink Application)	Final	3	5	5
IT (Software Licensing)	Final	2	9	8
Themed School Review - Unofficial Funds	Final	4	5	5
Parking Income Collection	Final	3	5	5
IT Physical & Environmental Controls	Final	3	25	24
Parks & Green Spaces	Final	2	13	13
Highway Electrical & Intelligent Transport Systems, UTMC - Traffic Signal System, Mayrise Buchanan Traffic Accident Street Lighting Software	Final	3	11	11
Community Rights (Statutory Obligations )	Final	4	9	9
Info Gov - Data Protection	Final	3	7	7
IT Procurement & Disposals (Hardware / Software)	Final	3	8	7
Safer Recruitment (Disclosure & Barring Service)	Final	2	6	6
Payroll - Processing, Payruns & Reconciliations	Final	3	17	11
Contract Management - Registered Residential Care	Final	4	7	7
Fostering Allowances	Final	5	1	1
Safeguarding (Communication & Information Sharing including complaints)	WIP			
Virtual School Governance Arrangements	Final	3	6	6
Art Development	Final	5	1	1
Festivals (As above)	Final	N/A	N/A	N/a
New Homes Bonus	Draft			
Homelessness & Temp Accom	Draft			
Tourism Company - Contract Management (Bath Tourism Plus)	Draft			
Enforcement (Cleansing, Waste)				
Car Parking Governance	WIP			
Spring Water Monitoring (Avon Act / Shale Gas Extraction)	Final	4	7	6
Pensions - Iconnect system	Final	4	1	1
Scan Coin Payment Kiosks	Draft			
Council Tax -Liability, Billing & Refunds (Inc. Northgate Revs & Bens Application)	WIP			
Cash - Library Services	WIP			
Property Officer Decision Making	Draft			
Catering				
Children Missing Education	Final	4	1	1
Commissioning - Safeguarding	C/F 15/16			
Adults - Single Panel Process	WIP			
Capital & Schools Organisation - Childrens Services Property Estate				
City Deal	WIP			
Spring Water Supply	Draft			
Leisure Provision (Aquaterra)	WIP			
Highway Maintenance & Improvement Works Contract	WIP			
Food Safety & Health Promotion	Draft			
Taxis & Private Hire Vehicles	Draft			
Adult Care - Debt Management				
Finance Monitoring / Risk management	Draft			
IT Provision - Firewalls		SWAP		
IT Provision - Malware Protection		SWAP		
NNDR - Database & Valuation		SWAP		
Incident Management				
Electrical & Mechanical Repairs & Maintenance				
Out of County Placements				
Joint Safeguarding - Effectiveness of joint case reviews				

Audit Reviews (Position As At End of Q2 2014/15)			Recommendations	
Audit Review Title	Status	Assurance Level	Made	Agreed
Better Care Fund				
The Hub - Governance Arrangements				
Theme Schools Review - Energy Management				
Economic Enterprise & Business Development				
Retail Shops (Incl. Warehouse)				
Waste Treatment and Disposal (Incl. Contract Management)				
Land Dainage (LLFA - Flood & Drainage Programme Management)				
Traffic & Safety (Traffic Signals & Intelligent Transport Systems)				
Adult Finance - Receivership				
Introduction of new HR & Payroll System	WIP			
Payroll - Mileage & Business Expenses	WIP			
School Pensions / Payroll Responsibilities				
Council Tax - Database & Valuation	WIP			
Project Delivery				
Extended Services				
Theme Schools Review - Information Governance				
LEP				
World Heritage	Final	4	4	4
Roman Baths & Assembly Rooms (Incl. Income, Premises & Contracts)				
Tenancy Fraud				
Design & Projects				
Direct Payments system				
Purchase Cards				
Council Website - Business Continuity & Trading		SWAP		
IT - Procurement & Disposal		SWAP		
Pro-Contract				
Welfare Reform (Universal Credit)				
Sustainability		SWAP		
Anti-Fraud & Corruption - Expenditure				
Anti-Fraud & Corruption - Income				